

## How to Invest

---

To invest in the Fund you will need to complete and sign the attached Application Form.

- Supply of Tax File Numbers (TFN) is discretionary. It is not an offence if you decide not to supply your TFN. If you do not supply your TFN, however, tax will be deducted from your income earned at the highest marginal tax rate (plus Medicare levy) and forwarded to the Australian Taxation Office. These deductions will appear on your statements. A form is attached for your convenience.
- Joint applications must be signed by all applicants. Joint investments will be deemed to be held as Joint Tenants.
- Applications under Power of Attorney must be accompanied by a certified copy or the original of the Power of Attorney with specimen signatures.

The minimum initial investment in the Fund is \$25,000 and additional investment \$5,000. Perpetual may vary these minimum investment amounts from time to time.

The cut-off time for receipt of an application is 4pm (Sydney time) on a Business Day. If the application is accepted, it will be processed with the unit price effective for that Business Day. Applications received after this cut-off time will be treated as being received on the following Business Day.

Application monies must be made in Australian Dollars by either funds transfer or direct credit into the following application account:

Account Name: The Trust Company (RE Services) Limited as RE for Eley Griffiths Group Small Companies Fund Applications Account

Bank: ANZ

BSB: 012 003

Account number: 836 736 157

NB: Cash & cheques will not be accepted.

Please send completed Application Form with relevant AML documentation to:

White Outsourcing Pty Limited  
Eley Griffiths Group Small Companies Fund Unit Registry  
GPO Box 5482  
Sydney NSW 2001

## Privacy

You acknowledge that any personal information you provide to us will be collected and handled in accordance with White Outsourcing's privacy policy, a copy of which can be found at [www.whiteoutsourcing.com.au](http://www.whiteoutsourcing.com.au) or posted / emailed to you if you contact White Outsourcing on +612 8262 2800 or [registry@whiteoutsourcing.com.au](mailto:registry@whiteoutsourcing.com.au). By submitting this form or any other paperwork relating to your investment you consent to your personal information being collected and handled by the unit registry in accordance with that policy.

## Regular Information

Following acceptance of your application, you will be sent an application advice. You should check the details on the advice carefully and contact White Outsourcing if you have any questions.

## Additional Investment

Additional investments can be made using the Application Form on page 19.

## Application form for Eley Griffiths Group Small Companies Fund

If investors choose to send Application or Redemption Request Forms by post, facsimile or email, they bear the risk of such requests not being received. Neither White Outsourcing nor Perpetual accept any responsibility or liability for any loss caused as a result of non-receipt or ineligibility of any facsimile notice or email or for any loss caused in respect of any action taken as a consequence of such facsimile or email instruction believed in good faith to have originated from properly authorised persons.

### If You Have Any Questions...

If you have any questions about any matter relating to the Fund, please telephone White Outsourcing Registry on (02) 8262 2800

### Examples of correct names and required signatures

Type of Investor	<input checked="" type="checkbox"/> Correct Name	<input checked="" type="checkbox"/> Incorrect Name	Signature Required
<b>Individual/ joint investors</b> <ul style="list-style-type: none"> <li>use full name of each applicant, do not use initials</li> </ul>	Alexander John Smith Laura Sue Barden	Alex Smith Laura S Barden	<ul style="list-style-type: none"> <li>signature of each applicant</li> </ul>
<b>Company</b> <ul style="list-style-type: none"> <li>use full company title, do not use abbreviations</li> </ul>	ABC Pty Ltd  XYZ Limited	ABC P/L, ABC Co,  XYZ Inc.	<ul style="list-style-type: none"> <li>by two directors, or</li> <li>by a director and a secretary, or</li> <li>if there is only one director by that sole director</li> </ul>
<b>Trusts/minors</b> <ul style="list-style-type: none"> <li>use trustee(s)/ individual(s)name(s)</li> <li>use trust/minor name as designation</li> </ul>	Paul Ryan Smith ATF <Smith Family Trust>  Paul Ryan Smith<Joel Smith>	Paul Smith Family Trust  Joel Smith	<ul style="list-style-type: none"> <li>signature of each trustee/ individual</li> <li>if trustee is a company see above</li> </ul>
<b>Superannuation fund</b> <ul style="list-style-type: none"> <li>use trustee(s)personal name(s)</li> <li>use fund name as designation</li> </ul>	Amy Rachel Wood ATF <Amy Wood Super Fund>  ABC Pty Ltd ATF <Smith Superannuation Fund>	A R Wood Super Fund  Smith Super Fund	<ul style="list-style-type: none"> <li>signature of each trustee</li> <li>if trustee is a company see above</li> </ul>
<b>Deceased estates</b> <ul style="list-style-type: none"> <li>use executor(s) personal names, do not use name of the deceased</li> </ul>	John Smith <Est Jane Smith A/c>	Estate of the Late Jane Smith	<ul style="list-style-type: none"> <li>signature of the executor(s)</li> </ul>

# APPLICATION FORM

## Eley Griffiths Group Small Companies Fund ARSN 106 171 224

This application form relates to the Product Disclosure Statement dated 31 January 2016 (PDS) issued by The Trust Company (RE Services) Limited (Perpetual) ABN 45 003 278 831 AFSL 235150. Please read the PDS in full before completing this Application Form. Unless otherwise specified, terms defined in the PDS have the same meaning in this Application Form.

### INDIVIDUALS, COMPANIES, TRUSTS & TRUSTEES

## PART A: INVESTOR & INVESTMENT DETAILS

Is this an application from a new investor or an existing investor?

**NEW INVESTOR** – Please refer to previous page for correct naming convention

New Investor Name:

Type of Investor: (Please tick applicable box)

Superannuation Fund  Other Trust  Individual /Joint Individuals  Company  Other

Please continue below to section 1. Contact Details

**EXISTING INVESTOR** - If this is an application from an existing investor please provide your investor number (and only proceed with this form if your details have changed significantly as advised on the cover page of this form).

Investor Number:

### 1. Contact Details

Full given name(s)

Surname

Company name / Trustee Name (if applicable)

Telephone

Facsimile

Email (required, will be used for all correspondence)

Address

Street

Suburb

State

Postcode

Country

## 2. Investment Details

Amount

AUD \$

Please note the minimum initial investment amount is \$25,000 and the minimum additional investment amount is \$5,000.

Source of funds being invested

retirement income  employment income  business activities  sale of assets

inheritance/gift  financial investments  Other

## 3. Payment Details

Electronic Funds Transfer (EFT) to :

Bank: ANZ

BSB: 012 003

Account Number: 836 736 157

Account Name: The Trust Company (RE Services) Limited as RE for Eley Griffiths Group Small Companies Fund Applications Account

Reference: Investor name

## 4. Taxation Details

*Where the investment in the fund is held jointly by 2 or more unitholders taxation details for each unitholder need to be provided. If there are more than 2 investors provide details on a separate sheet of paper and attach it to your application form.*

### INVESTOR 1

Name:

Are you a resident of Australia for taxation purposes? (Select  one of the following options)

Yes - please complete the below

No – please provide country of tax residence: \_\_\_\_\_

Tax File Number (TFN) or Australian Business Number (ABN):

Please indicate to whom this TFN or ABN belongs (Select  one of the following options):

Company

Trust or Superannuation Fund

Individual

Other – please specify: \_\_\_\_\_

Exemption Number (if applicable):

### INVESTOR 2

Name:

Are you a resident of Australia for taxation purposes? (Select  one of the following options)

Yes - please complete the below

No – please provide country of tax residence: \_\_\_\_\_

Tax File Number (TFN) or Australian Business Number (ABN):

Please indicate to whom this TFN or ABN belongs (Select  one of the following options):

Company

Trust or Superannuation Fund

Individual

Other – please specify: \_\_\_\_\_

Exemption Number (if applicable):

Please note: You are not obliged to provide either your TFN or ABN but if you do not provide either your TFN or ABN and unless you claim a TFN exemption, Perpetual will be required to deduct tax at the highest marginal tax rate (plus Medicare levy). By inserting the ABN and signing this Application Form, you declare that this investment is made in the course or furtherance of your enterprise. Collection of TFN information is authorised and its use and disclosure are strictly regulated by the tax laws and the Privacy Act 1988 (Cth).

## 5. Distributions

**Distributions** Please confirm how you would like to receive any distributions – either paid into an Australian bank account or automatically reinvested as additional units in the Fund.

**Reinvestment**  **Payment into bank account** (the distributions will be paid to bank account provided in section 6 below)

If no election is made, any distributions will be reinvested.

## 6. Bank Account

**IMPORTANT INFORMATION:**

***Please provide us your bank account details for the purpose of payment of future withdrawal proceeds. If this section is not completed it may cause a delay in processing of your redemption proceeds. Additional security checks to verify bank account changes will be performed at the time of payment of your redemption proceeds.***

**Your bank account details**

If you reside in Australia distributions and withdrawal proceeds can only be paid to an Australian bank account in the name of the unitholder and cannot be paid by cheque or to third party accounts. By completing this section you confirm that any distributions and withdrawal proceeds sent by EFT to a designated bank account are sent at your risk insofar as the onus to provide bank account details rests solely on you.

Please pay distributions and withdrawal proceeds to the following bank account:

Beneficiary Bank

Branch Name

BSB

Account Number

Account Name

## PART B: INVESTOR IDENTIFICATION

If you are a new investor please complete both PART A: Investor & Investment Details and PART B: Investor Identification, and send all documents to White Outsourcing at the address below. If your investor type does not fall into any of the three investor categories in sections 1 - 3 of PART B: Investor Identification in this form please contact White Outsourcing to enquire about what information and documentation is required for identification purposes under Anti-Money Laundering/Counter Terrorism Financing legislation.

The document requirements (including certified identification evidence) in respect of the information requested are included for your reference at the end of this part.

### SECTION 1 - INVESTOR TYPE : ALL TRUSTS (INCLUDING SUPERANNUATION FUNDS)

#### 1.1 General Information

Full name of trust	<input type="text"/>
Full name of the Trustee/(s) of the trust	<input type="text"/>
Country where trust established	<input type="text"/>

#### 1.2 Type of Trust (select only one of the following trust types and provide the information requested)

**Registered managed investment scheme**

Provide Australian Registered Scheme Number (ARSN)

**Regulated trust (e.g. a Self-Managed Superfund)**

Provide name of the regulator (e.g. ASIC, APRA, ATO)

Provide the trust's ABN or registration / licensing details

**Government superannuation fund**

Provide name of the legislation establishing the fund

**Other trust type**

Trust description (e.g. unregistered, fixed, family, unit)

Full name of settlor(s)<sup>1</sup>

For **other trust type** please also provide the following documentation:

**Original Certified copy<sup>2</sup> or certified extract of the trust deed; or**

**Notice of assessment or certified copy<sup>1</sup> of assessment issued by the ATO in the last 12 months.**

<sup>1</sup> The person(s) who settles the initial sum or assets to create the trust. This will be verified against the trust deed which should outline the full name of the settlor(s).

<sup>2</sup> For the definition of **certified copy** and list of people that can certify documents refer to Section 7.

### 1.3 Trustee Details

How many trustees are there?

#### Trustee 1

Full given name(s) or Company name

Surname

Residential address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

#### Trustee 2

Full given name(s) or Company name

Surname

Residential address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

If there are more trustees, provide details on a separate sheet of paper and attached it to your application form.

#### For all trust types please complete the following additional sections:

- If you are completing this form as an **Individual Trustee** please complete 'Section 3 - Investor Type: Individual' for at least ONE of the trustees in addition to completing applicable sections 1.3, 1.4 and 1.5.
- If you are completing this form as a **Corporate Trustee** please complete 'Section 2 - Investor Type: Company' in addition to completing applicable sections 1.3, 1.4 and 1.5.
- If you are completing this form both as **Individual** and **Corporate Trustee** please complete 'Section 3 - Investor Type: Individual' and 'Section 2 - Investor Type: Company' in addition to completing applicable sections 1.3, 1.4 and 1.5.

### 1.4 Beneficiary Details (only complete if "Other trust type" is selected in section 1.2 above)

**Do NOT complete if the trust is a registered managed investment scheme, regulated trust (e.g. SMSF) or government superannuation fund.**

Provide details of the membership class(-es)

(e.g. unit holders, family members of named person, charitable purpose)

How many beneficiaries are there?

provide full name of each beneficiary below:

	Full given name(s)	Surname	Date of Birth (dd/mm/yyyy)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more beneficiaries, provide details on a separate sheet of paper and attach it to your application form.

**1.5 Beneficial Ownership Details** (only complete if "Other trust type" is selected in section 1.2 above)

**Do NOT complete if the trust is a registered managed investment scheme, regulated trust (e.g. SMSF) or government superannuation fund.**

Provide the names of the individuals that directly or indirectly control\* the trust. If this is confirmed to be the individual identified as the trustee above, they must be listed again below to confirm that they are the trust's beneficial owners.

\* includes control by acting as trustee; or by means of trusts, agreements, arrangements, understandings and practices; or exercising control through the capacity to direct the trustees; or the ability to appoint or remove the trustees.

**Beneficial Owner 1**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as trustee or appointor)	<input type="text"/>						

**Beneficial Owner 2**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as trustee or appointor)	<input type="text"/>						

**Beneficial Owner 3**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as trustee or appointor)	<input type="text"/>						

If there are more beneficial owners, provide details on a separate sheet of paper and attach it to your application form.



## IDENTIFICATION DOCUMENTS FOR INDIVIDUAL BENEFICIAL OWNERS:

For all Individual beneficial owners please provide the following information in addition to completing this section:

- Original certified copy<sup>3</sup> of a Primary Photographic Identification Document (see below for definition); or
- Original certified copy<sup>1</sup> of a Primary Non-Photographic Identification Document AND a Secondary Identification Document (see below for definitions).

### What are the Identification Documents?

#### Primary Photographic Identification Documents;

- 1) Licence or permit issued by State or Territory of Australia or equivalent authority of a foreign country for the purpose of driving a vehicle that contains a photograph of the person in whose name the document is issued.
- 2) Passport issued by Commonwealth of Australia.
- 3) Passport issued for purpose of international travel that is issued by a foreign government and contains a photograph and the signature of a person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).
- 4) Card issued by a State or Territory of Australia for the purpose of proving a person's age that contains a photograph of the person in whose name the document is issued.
- 5) National Identity Card issued by a foreign government, for the purpose of identification that contains a photograph of the person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).

#### Primary Non-Photographic Identification Documents;

- 1) Birth Certificate or Birth Extract issued by a State or Territory of Australia.
- 2) Citizenship Certificate issued by Commonwealth of Australia.
- 3) Citizenship Certificate issued by a foreign Government (accompanied by a written translation prepared by accredited translator where required).
- 4) Birth certificate issued by a foreign government (accompanied by a written translation prepared by accredited translator where required).
- 5) Pension card issued by Centre Link that entitles financial benefits to the person in whose name the card is issued.

#### Secondary Identification Documents;

- 1) A notice that was issued to an individual by the Commonwealth, a State or Territory of Australia within the preceding 12 months that contains the name of the individual and his or her residential address and records the provision of financial benefits to the individual under a law of the Commonwealth, State or Territory.
- 2) A notice that was issued to an individual by a local government or utilities provider in Australia within the preceding 3 months that contains the name of the individual and his or her residential address and records the provision of services by that local government body or utilities provider to that address or to that person.

---

<sup>3</sup> For the definition of **certified copy** and list of people that can certify documents refer to Section 7

## SECTION 2 - INVESTOR TYPE : AUSTRALIAN & FOREIGN COMPANY

Please note, if you are an Australian Company acting as trustee of a fund, please also complete Section 1.

### 2.1 General Information

**Full name**  
as registered by ASIC or  
foreign registration body

**Registration number**  
(select  the following  
categories which apply to  
the company and provide  
the information requested)

ACN

ARBN

Foreign body registration number

Please also provide name of the foreign registration body below:

**Country of formation /  
incorporation / registration**

**Registered office address** (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

**Principal place of business** (if any) (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

**Principal business activity**

**2.2 Regulatory and listing details** (select  the following categories which apply to the company and provide the information requested)

**Regulated company** (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name

Licence Number

**Australian listed company or Foreign listed company as defined in the IFSA/FPA Guidelines**

Name of market /  
exchange

**Majority-owned subsidiary of an Australian listed company**

Australian listed  
company name

Name of market  
or exchange

**Foreign company**

Country of formation /  
incorporation /  
registration

**2.3 Company Type** (select  only ONE of the following categories)

- Public**                      *Section 2 now completed, continue to Section 4*
- Proprietary/Private**      *Go to Section 2.4 and 2.5 below.*
- Other**                         *Go to Section 2.4 and 2.5 below*

**2.4 Directors** (only needs to be completed for proprietary, private and other companies)

*This section does NOT need to be completed for public and listed companies.*

How many directors are there?       *provide full name of each director*

	<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
1			/ /
2			/ /
3			/ /

- If there are more directors, provide details on a separate sheet of paper and attached it to your application form.*
- If the company is a regulated company (as selected in 2.2 above) section 2 is now completed, continue to Section 4.*

**2.5 Beneficial Owners** (only needs to be completed for proprietary, private or other companies that are not regulated companies as selected in Section 2.2)

**Shareholder beneficial owners**

Provide details of **ALL individuals** who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings).

**Shareholder 1**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

Residential address (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						

**Shareholder 2**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

Residential address (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						

**Shareholder 3**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

Residential address (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						

If there are more shareholder beneficial owner, provide details on a separate sheet of paper and attach it to your application form.

**Other beneficial owners**

If there are no individuals who meet the requirements of shareholder beneficial owners, provide the names of the individuals who directly or indirectly control\* the company.

\* includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing official/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

**Beneficial Owner 1**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as managing director)	<input type="text"/>						

**Beneficial Owner 2**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as managing director)	<input type="text"/>						

**Beneficial Owner 3**

<b>Full given name(s)</b>	<b>Surname</b>	<b>Date of Birth (dd/mm/yyyy)</b>
<input type="text"/>	<input type="text"/>	<input type="text" value="/ /"/>

**Residential address** (PO Box is NOT acceptable)

Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>
Occupation	<input type="text"/>						
Role (such as managing director)	<input type="text"/>						

If there are more beneficial owners, provide details on a separate sheet of paper and attach it to your application form.

If the company is an Australian company or Foreign company **registered with ASIC** the form is now COMPLETE.

If the company is a **Foreign company not registered with ASIC** please also attach certified copy<sup>4</sup> of the certification of registration issued by the relevant foreign registration body.

## IDENTIFICATION DOCUMENTS FOR INDIVIDUAL BENEFICIAL OWNERS:

For all Individual beneficial owners please provide the following information in addition to completing this section:

- Original certified copy<sup>5</sup> of a Primary Photographic Identification Document (see below for definition); or
- Original certified copy<sup>1</sup> of a Primary Non-Photographic Identification Document AND a Secondary Identification Document (see below for definitions).

### What are the Identification Documents?

#### Primary Photographic Identification Documents;

- 6) Licence or permit issued by State or Territory of Australia or equivalent authority of a foreign country for the purpose of driving a vehicle that contains a photograph of the person in whose name the document is issued.
- 7) Passport issued by Commonwealth of Australia.
- 8) Passport issued for purpose of international travel that is issued by a foreign government and contains a photograph and the signature of a person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).
- 9) Card issued by a State or Territory of Australia for the purpose of proving a person's age that contains a photograph of the person in whose name the document is issued.
- 10) National Identity Card issued by a foreign government, for the purpose of identification that contains a photograph of the person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).

#### Primary Non-Photographic Identification Documents;

- 6) Birth Certificate or Birth Extract issued by a State or Territory of Australia.
- 7) Citizenship Certificate issued by Commonwealth of Australia.
- 8) Citizenship Certificate issued by a foreign Government (accompanied by a written translation prepared by accredited translator where required).
- 9) Birth certificate issued by a foreign government (accompanied by a written translation prepared by accredited translator where required).
- 10) Pension card issued by Centre Link that entitles financial benefits to the person in whose name the card is issued.

#### Secondary Identification Documents;

- 3) A notice that was issued to an individual by the Commonwealth, a State or Territory of Australia within the preceding 12 months that contains the name of the individual and his or her residential address and records the provision of financial benefits to the individual under a law of the Commonwealth, State or Territory.
- 4) A notice that was issued to an individual by a local government or utilities provider in Australia within the preceding 3 months that contains the name of the individual and his or her residential address and records the provision of services by that local government body or utilities provider to that address or to that person.

---

<sup>4</sup> For the definition of **certified copy** and list of people that can certify documents refer to Section 7

<sup>5</sup> For the definition of **certified copy** and list of people that can certify documents refer to Section 7

### SECTION 3 - INVESTOR TYPE : INDIVIDUAL

Please note, if you are an individual(s) acting as trustee(s) of a fund, please also complete Section 1.

#### INVESTOR 1

Investor's name must match investor's ID exactly.

Full given name(s)

Surname

Date of Birth (dd/mm/yyyy)

Residential address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

Occupation

COMPLETE THIS PART IF INDIVIDUAL IS A SOLE TRADER

Full business name

ABN (if any)

Principal Place of Business (if any) (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

#### INVESTOR 2

Investor's name must match investor's ID exactly.

Full given name(s)

Surname

Date of Birth (dd/mm/yyyy)

Residential address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

Occupation

COMPLETE THIS PART IF INDIVIDUAL IS A SOLE TRADER

Full business name

ABN (if any)

Principal Place of Business (if any) (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

If there are more than 2 joint individual investors, provide details on a separate sheet of paper and attached it to your application form.

## IDENTIFICATION DOCUMENTS FOR INDIVIDUAL INVESTOR(S):

For all Individual investors please provide the following information in addition to completing this section:

- **Original certified copy<sup>6</sup> of a Primary Photographic Identification Document** (see below for definition); or
- **Original certified copy<sup>1</sup> of a Primary Non-Photographic Identification Document AND a Secondary Identification Document** (see below for definitions).

### What are the Identification Documents?

#### Primary Photographic Identification Documents;

- 11) *Licence or permit issued by State or Territory of Australia or equivalent authority of a foreign country for the purpose of driving a vehicle that contains a photograph of the person in whose name the document is issued.*
- 12) *Passport issued by Commonwealth of Australia.*
- 13) *Passport issued for purpose of international travel that is issued by a foreign government and contains a photograph and the signature of a person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).*
- 14) *Card issued by a State or Territory of Australia for the purpose of proving a person's age that contains a photograph of the person in whose name the document is issued.*
- 15) *National Identity Card issued by a foreign government, for the purpose of identification that contains a photograph of the person in whose name the document is issued (accompanied by a written translation prepared by accredited translator where required).*

#### Primary Non-Photographic Identification Documents;

- 11) *Birth Certificate or Birth Extract issued by a State or Territory of Australia.*
- 12) *Citizenship Certificate issued by Commonwealth of Australia.*
- 13) *Citizenship Certificate issued by a foreign Government (accompanied by a written translation prepared by accredited translator where required).*
- 14) *Birth certificate issued by a foreign government (accompanied by a written translation prepared by accredited translator where required).*
- 15) *Pension card issued by Centre Link that entitles financial benefits to the person in whose name the card is issued.*

#### Secondary Identification Documents;

- 5) *A notice that was issued to an individual by the Commonwealth, a State or Territory of Australia within the preceding 12 months that contains the name of the individual and his or her residential address and records the provision of financial benefits to the individual under a law of the Commonwealth, State or Territory.*
- 6) *A notice that was issued to an individual by a local government or utilities provider in Australia within the preceding 3 months that contains the name of the individual and his or her residential address and records the provision of services by that local government body or utilities provider to that address or to that person.*

---

<sup>6</sup> For the definition of **certified copy** and list of people that can certify documents refer to Section 7



## SECTION 4: Foreign Account Tax Compliance Act (FATCA) – Self-certification Declaration

This certification must be completed by all investors to declare their FATCA status or U.S. tax status. U.S. IRS Form W-8 or W-9 is accepted in place of this declaration. Please refer to section 5 for explanations of FATCA terms before completing this form. Neither Trustee of the Fund nor White Outsourcing is able to provide you with tax or professional advice in respect of FATCA or the IGA and we strongly encourage you to seek the advice of experienced tax or professional adviser in relation to completing this form.

### Purpose of this form

The Foreign Account Tax Compliance Act (FATCA), a United States regulatory requirement that aims to deter tax evasion by U.S. taxpayers was introduced in 2010. From 1 July 2014 financial institutions (being managed investment funds) are required to identify investors that hold certain “financial accounts” and are U.S. persons or that are entities with substantial U.S. owners. Information on accounts and investments held by these investors must then be reported to the U.S. Internal Revenue Service (IRS) via the Australian Taxation Office (ATO). In certain instances, FATCA also imposes withholding tax on certain U.S. sourced income and the proceeds of sale on U.S. assets.

### 1. Type of Investor

- Individual or joint investor** ▶ complete sections 2 and 6
- Superannuation fund** ▶ complete sections 3 and 6
- Company, Trust, Partnership or other** ▶ complete sections 4, 5 and 6

### 2. Individual or Joint Investors

For joint investors please provide details for each individual (including minors). If there are more than 2 individuals please provide their details on separate page and attach it to this form.

#### Individual 1

Full Name

Are you a U.S. citizen or U.S. resident for tax purposes?

- No** ▶ Continue to section 6
- Yes** ▶ Provide your U.S. Taxpayer Identification Number (TIN)

#### Individual 2

Full Name

Are you a U.S. citizen or U.S. resident for tax purposes?

- No** ▶ Continue to section 6
- Yes** ▶ Provide your U.S. Taxpayer Identification Number (TIN)

▶ Continue to section 6

### 3. Superannuation funds

Full legal name of the Superannuation Fund

Select only **ONE** of the following options that best describes the Superannuation Fund and provide the information requested.

- I am an Australian Retirement Fund** (refer to FATCA definitions in section 8)
  - ▶ We'll record your FATCA status as an **Exempt Beneficial Owner**
- I am not an Australian Retirement Fund** (refer to FATCA definitions in section 8) ▶ Please complete details below

FATCA status

GIIN (if applicable)

▶ Continue to section 6

### 4. Entity Investors

Full legal name of the entity

Select only **ONE** of the following five FATCA categories that best describes the entity and provide the information requested.

- 1. U.S. person as defined under FATCA and U.S. Internal Revenue Code.**

This includes but is not limited to company, trust or partnership that is established under the laws of a U.S. and is considered a U.S. resident for tax purposes. ▶ Please also answer questions (a) and (b) on the next page

**(a) U.S. federal tax classification** ▶ *Please confirm entity's U.S. federal tax classification below*

- Single-member LLC       C Corporation       S Corporation       Partnership       Trust/estate  
 Limited liability company - C corporation       Limited liability company - S corporation  
 Limited liability company – Partnership       Other ▶ *Please provide detail:* \_\_\_\_\_

**(a) Are you exempt from FATCA reporting?**

Yes ▶ *Please provide your FATCA exemption code*

FATCA exemption code

No ▶ *Please provide your U.S. Taxpayer Identification Number (TIN)*

U.S. TIN

**2. Non-Financial Foreign Entity (NFFE)** ▶ *Select one of the options from (a) to (c) to confirm which type of NFFE are you*

- (a) Active NFFE ▶ *Continue to section 6*  
 (b) Passive NFFE with no controlling U.S. persons ▶ *Continue to section 6*  
 (c) Passive NFFE with controlling persons (refer to FATCA definitions in section 8) who are U.S. citizens or U.S. residents for tax purposes? ▶ *Provide details of each of the controlling U.S. person in section 5*

**3. Entity that is an Exempt Beneficial Owner** ▶ *Continue to section 6*

**4. Financial institution (FFI)** ▶ *Select one of the options from (a) to (d) to confirm which type of FFI are you*

(a) Reporting IGA FFI or Participating FFI

▶ *Provide entity's GIIN and continue to section 6*

GIIN

(b) Sponsored FFI or Trustee Documented Trust

▶ *Please complete details of the Sponsoring entity or Trustee below and continue to section 6*

Name of Sponsoring entity or Trustee

GIIN of Sponsoring entity or Trustee

(c) FFI that does not need to register (e.g. Non-Reporting IGA FFI) ▶ *Please complete details below and continue to section 6*

FATCA status

GIIN (if applicable)

(d) Non-participating FFI ▶ *Note that information about you will be reported to ATO and IRS. Continue to section 6*

**5. Other entity type (not listed above)** ▶ *Please complete details below and continue to section 6*

FATCA status

GIIN (if applicable)

## 5. Controlling U.S. persons of Passive NFFE

*Only complete this section if you have selected item 2(c) in the previous section. If there are more than 2 controlling U.S. persons please provide their details on separate page and attach it to this form.*

**U.S. Person 1**

- Controlling Person     Beneficiary     Trustee     Owner  
 Director     Other – *please specify* \_\_\_\_\_

Full Name

Residential address (PO box is not acceptable)

  
  

U.S. Taxpayer Identification Number (TIN)

**U.S. Person 2**

- Controlling Person     Beneficiary     Trustee     Owner  
 Director     Other – *please specify* \_\_\_\_\_

Full Name

Residential address (PO box is not acceptable)

  
  

U.S. Taxpayer Identification Number (TIN)

▶ *Please refer to next page for explanations*

## SECTION 5. Explanation of FATCA terms

### Common FATCA terms

**Financial institution** (also referred to as *Foreign financial institution* or “**FFI**” under FATCA) - an entity created or organised outside of the U.S. and includes:

- a) **Depository institution** – entity that accepts deposits in the ordinary course of banking or similar business (banks, credit unions), or
- b) **Custodial institution** – entity that holds financial assets for the account of others as a substantial portion of its business (brokers, custodians), or
- c) **Investments entity** – means any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:
  - trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange; interest rate and index instruments; transferable securities; or commodity futures trading;
  - individual and collective portfolio management; or
  - otherwise investing, administering, or managing funds or money on behalf of other persons.

**Non-Financial Foreign Entity (“NFFE”)** - any non-U.S. entity that is not a financial institution. NFFE can be either *Active NFFE* or *Passive NFFE* (refer below for more details).

**U.S. citizen or U.S. resident for tax purposes** – includes:

- anyone born in the U.S. (who hasn’t renounced their citizenship)
- anyone living in the U.S.
- a green card holder
- U.S. passport holder
- U.S. companies, trusts or partnerships

**Controlling Persons** - means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

**GIIN** - Global Intermediary Identification Number is an IRS registration number for financial institutions.

**TIN** – is U.S. Taxpayer Identification Number and may include Social Security Number (SSN) or Employer Identification Number (EIN).

**IGA** - Agreement between the Government of Australia and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA.

#### Australian Retirement Fund

1. Any plan, scheme, fund, trust, or other arrangement operated principally to administer or provide pension, retirement, superannuation, or death benefits that is a superannuation entity or public sector superannuation scheme (including an exempt public sector superannuation scheme) as defined in the *Superannuation Industry (Supervision) Act 1993*, or a constitutionally protected fund as defined in the *Income Tax Assessment Act 1997*.
2. A pooled superannuation trust as defined in the *Income Tax Assessment Act 1997*.
3. Any Entity that is wholly owned by, and conducts investment activities, accepts deposits from, or holds financial assets exclusively for or on behalf of, one or more plans, schemes, funds, trusts, or other arrangements referred to in subparagraphs (1) or (2) of this paragraph.

Further information about FATCA can be also found at:

<http://www.irs.gov/fatca>  
<http://treasury.gov.au/Policy-Topics/Taxation/Tax-Treaties/HTML/Intergovernmental-Agreement>  
[http://www.aph.gov.au/About\\_Parliament/Parliamentary\\_Departments/Parliamentary\\_Library/pubs/rp/rp1314/QG/FATCA](http://www.aph.gov.au/About_Parliament/Parliamentary_Departments/Parliamentary_Library/pubs/rp/rp1314/QG/FATCA)

### FATCA status

FATCA status refers to entity classification under FATCA and may include:

1. **Active NFFE** - any NFFE that meets following criteria:
  - NFFE where less than 50% of income is passive income (i.e. dividends, interest, annuities etc.) and less than 50% of its assets produce passive income; or
  - Entity’s stock is regularly traded on established securities market (e.g. entity listed on ASX) or affiliated group of such entity; or
  - Entity organised in U.S. Territory and owned by its residents; or
  - Foreign government; or
  - International organisation; or
  - Foreign Central Bank of Issue; or
  - Any other specifically identified class of entities, including those posing a low risk of tax evasion, as determined by the IRS (e.g. start-up entities, entities in liquidation, not-for profit entities etc.)
2. **Passive NFFE with controlling U.S. persons** - any NFFE that is not an Active NFFE or is not a withholding foreign partnership or trust and has controlling U.S. persons.
3. **Passive NFFE with no controlling U.S. persons** - any NFFE that is not an Active NFFE or is not a withholding foreign partnership or trust and where none of the entity’s controlling persons are U.S. persons.
4. **Participating FFI** – an FFI that enters into an agreement with the IRS to undertake certain due diligence, withholding and reporting requirements for U.S. account holders in accordance with FATCA and is generally able to provide GIIN.
5. **Exempt Beneficial Owner** - this is non-reporting entity under FATCA and may include:
  - the Australian Government, State and local governments and local authorities and their wholly owned agencies or instrumentalities, including certain named entities;
  - International, intergovernmental and supranational organisations;
  - Reserve Bank of Australia and its subsidiaries;
  - Complying Australian superannuation funds (including self-managed super funds);
  - Investment entity wholly owned by *exempt beneficial owners*;
6. **Non-Reporting IGA FFI** – this is non-reporting entity (certified or registered deemed-compliant FFI) under FATCA and may include:
  - Financial institution with Australian client base (must satisfy all condition listed in paragraph III. A of Annex II of the IGA, including at least 98% of the U.S. dollar value of all account balances must be held by Australian residents);
  - Small local banks that meet criteria listed in the IGA;
  - Financial Institution that is not an Investment Entity with only Low-Value Accounts (i.e. with value of U.S.\$ 50,000 or less) and with total assets of no more than U.S.\$50 million;
  - Qualified credit card issuer (with customer deposits of U.S.\$50,000 or less);
  - Trustee-Documented Trust – A trust established under the laws of Australia to the extent that the trustee of the trust is a Reporting U.S. Financial Institution, Reporting Model 1 FFI, or Participating FFI and reports all information required to be reported pursuant to the Agreement with respect to all U.S. Reportable Accounts of the trust;
  - Sponsored investment entity - an investment entity established in Australia that has a Sponsoring entity;
  - Certain Investment Manager and Investment Advisors;
  - Certain Collective Investment Vehicles that meet criteria listed in the IGA.
7. **Non-Participating FFI** - an entity that does not comply with FATCA and generally will not fall into any of the below categories:
  - Participating FFI; or
  - Reporting FFI; or
  - Exempt Beneficial Owner

## SECTION 6: DECLARATION AND SIGNATURE

I acknowledge, declare and agree that by signing this application form:

- I have received and read the PDS and Information Booklet to which this Application Form applies and have received and accepted the offer in the PDS to invest in Australia.
- If I have received the PDS and Information Booklet from the internet or other electronic means that I received it personally or a print out of it, accompanied by this Application Form.
- All details provided by me in this Application Form are true and correct.
- I agree to be bound by the terms and conditions of the current PDS, Information Booklet and of the Constitution of the Fund, as amended from time to time.
- That the Trustee/Responsible Entity is authorised to apply the TFN or ABN provided above to all future applications for units, including reinvestments, unless I notify the Trustee/Responsible Entity otherwise.
- That none of Investment Manager, the Trustee/Responsible Entity or any other person guarantees the repayment of capital invested in the Fund, the performance of nor any particular return from the Fund and I understand the risks involved in investing in the Fund.
- That the Trustee/Responsible Entity may be required to pass on information about me or my investment to the relevant regulatory authority in compliance with the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation. I will provide such information and assistance that may be requested by the Trustee/Responsible Entity to comply with its obligations under the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation and I indemnify it against any loss caused by my failure to provide such information or assistance.
- That the Trustee/Responsible Entity may be required to pass on information about me or my investment to the relevant regulatory authority in compliance with the US Foreign Account Tax Compliance Act ("FATCA"). I will provide such information and assistance that may be requested by the Trustee/Responsible Entity to comply with its obligations under the FATCA Act and I indemnify it against any loss caused by my failure to provide such information or assistance. I also certify that the FATCA information provided is reasonable based on the documentation provided.
- The monies used to fund my investment in the Fund are not derived from or related to any money laundering, terrorism financing or other illegal activities, whether prohibited under Australian law, international law or convention ('illegal activity') and the proceeds of my investment in the Fund will not be used to finance any illegal activities.
- I am not a 'politically exposed' person or organisation for the purpose of the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation.
- That if investing as trustee on behalf of a super fund or trust, I confirm that I am acting in accordance with my designated powers and authority under the trust deed. In the case of a super fund, I also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
- I have read and understood the privacy disclosure as detailed in the PDS and Information Booklet. I consent to my personal information being collected, held, used and disclosed in accordance with the privacy disclosure.
- That if I have appointed an authorised representative, I release, discharge and indemnify the Trustee/Responsible Entity from any loss, expense, action or other liability which may be suffered by or brought against the Trustee/Responsible Entity for any action or omissions by the authorised representative whether authorised by me or not.
- That any personal information I provide to White Outsourcing ("WO") will be collected and handled in accordance with White Outsourcing's privacy policy, a copy of which can be found at [www.whiteoutsourcing.com.au](http://www.whiteoutsourcing.com.au) or posted / emailed to me if I contact WO on +612 8262 2800 or [registry@whiteoutsourcing.com.au](mailto:registry@whiteoutsourcing.com.au). By submitting this form or any other paperwork relating to my investment I consent to my/our personal information being collected and handled by the unit registry in accordance with that policy.
- That the Trustee/Responsible Entity and Administrator are authorised to accept and act upon any instructions in respect of this application and the units to which it relates given by me by facsimile. If instructions are given by facsimile, the onus is on me to ensure that such instructions are received in legible form and I undertake to confirm them in writing. I indemnify the Trustee/Responsible Entity and Administrator against any loss arising as a result of any of them acting on facsimile instructions. The Trustee/Responsible Entity and Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
- That the Trustee/Responsible Entity may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation and any tax-related requirements for tax residents of other countries.
- I am not a US Person (as defined under the Securities Act of 1933 of the United States of America, under the guidelines set forth by the US Commodities Futures Trading Commission in its Interpretive Guidance and Policy Statement Regarding Compliance with Certain Swap Regulations, as amended, or under US Internal Revenue, or a non-US entity with certain US owners), residents in or citizens of the United States of America, nor do I act on behalf of or for the benefit of any US person and I agree to notify the Trustee/Responsible Entity immediately if I become a US Person (as defined above).
- If I am a corporate applicant, I am duly authorised to execute and deliver this application and undertake transactions on behalf of the corporation. The corporation has the power and capacity to enter, and has taken all corporate and other actions to authorise its entry, into this application and undertake transactions hereunder and its obligations hereunder are valid and binding. The corporation is neither (i) organised or incorporated under the laws of the United States of America, nor (ii) formed by US persons (as defined above), residents in or citizens of the United States of America principally for the purposes of investing in securities not registered under the Securities Act of 1933 of the United States of America.
- That the Trustee/Responsible Entity reserves the right to reject any application.

**Account operating instructions** (if no selection is made, all individuals to sign will be assumed)

- Any individual to sign       Any two individuals to sign       All individuals to sign  
 Other (please specify— e.g. *per attached Power of Attorney*): \_\_\_\_\_

Signature \_\_\_\_\_

Name and title (block letters please) \_\_\_\_\_

Date \_\_\_\_\_

1

Signature

Name and title (block letters please)

Date

2

Signature

Name and title (block letters please)

Date

3

Signature

Name and title (block letters please)

Date

4

Signature

Name and title (block letters please)

Date

## SECTION 7: CERTIFIED COPY OF AN ORIGINAL DOCUMENT

**Certified copy** means a document that has been certified as a true copy of an original document.

**Certified extract** means an extract that has been certified as a true copy of some of the information contained in a complete original document by one of the persons described in the sub-paragraphs below.

People who can certify documents or extracts are:

- a **lawyer** - a person who is enrolled on the roll of the Supreme Court of a State or Territory, or High Court of Australia, as a legal practitioner (however described);
- a **judge** of a court;
- a **magistrate**;
- a **chief executive officer** of a Commonwealth court;
- a **registrar** or **deputy registrar** of a court;
- a **Justice of Peace**;
- a **notary public** (for the purposes of the Statutory Declaration Regulations 1993);
- a **police officer**;
- a **postal agent** - an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- the **post office** - an **permanent employee** of The Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- an **Australian consular officer** or an **Australian diplomatic officer** (within the meaning of the Consular Fees Act 1955);
- an **officer** with 2 or more continuous years of service with one or more **financial institutions** (for the purposes of the Statutory Declaration Regulations 1993);
- a **finance company officer** with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 1993);
- an **officer** with, or **authorised representative** of, a **holder of an Australian financial services licence**, having 2 or more continuous years of service with one or more licensees; and
- an **accountant** - a member of the institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.
- person authorised as a notary public in a foreign country

The eligible certifier must include the following information:

- Their full name
- Address
- Telephone number
- The date of certifying
- Capacity in which they are eligible to certify, and
- An official stamp/seal if applicable

The certified copy must include the statement, ***"I certify this is a true copy of the original document"***.

For photographic documents, the certified copy must include the statement, ***"I certify this is a true copy of the original document and the photograph is a true likeness"***.

**Documents that are written in a language that is not English** must be accompanied by an English translation prepared by an accredited translator.

**ADDITIONAL APPLICATION FORM**  
**Eley Griffiths Group Small Companies Fund**

This form should be used by existing unit holders provided your details have not changed.

Investor Number

Investor Name

**AMOUNT OF ADDITIONAL INVESTMENT**

Please indicate how much you wish to invest

\$AUD

Please note the minimum initial investment amount is \$25,000 and the minimum additional investment amount is \$5,000. Please make payment net of all bank charges. Only net amount received will be invested in the Fund.

**PAYMENT DETAILS**

Electronic Funds Transfer (EFT) to :

Bank: ANZ  
BSB: 012 003  
Account Number: 836 736 157  
Account Name: The Trust Company (RE Services) Limited as RE for Eley Griffiths Group Small Companies Fund Applications Account

IMPORTANT: All EFT payments must be accompanied by a notification email to [registry@whiteoutsourcing.com.au](mailto:registry@whiteoutsourcing.com.au) in order to ensure that the investor account is properly credited.

**CONTACT DETAILS**

Contact Name

Contact Number

**DECLARATION AND AUTHORISATION**

Please make sure you have completed the section above.

- In signing, I/we authorise that these instructions be made on my/our behalf and acknowledge that this form is provided on the basis that the Perpetual will affect it according to the terms and conditions of the current PDS.

Signature

Name and title of Signatory (block letters please)

Date

Signature

Name and title of Signatory (block letters please)

Date

*Please note it's up to the investor to ensure White Outsourcing have been notified of authorised signatories on this account. Where we cannot match the signature to the initial application form or signatory list provided there maybe delays in processing of this request.*

**POST OR FAX THIS FORM**

Please **post** this completed form to:

**White Outsourcing Pty Ltd, Attention: Eley Griffiths Group Small Companies Fund Unit Registry, GPO Box 5482, Sydney NSW 2001**

Alternatively you can **fax** this form to **(02) 9221 1194** or **scan and email** this request to [registry@whiteoutsourcing.com.au](mailto:registry@whiteoutsourcing.com.au).

*Note: No investment will be allocated to an investor until both funds, and a valid application form and identification documents (where applicable), have been received by the Administrator*

## REDEMPTION REQUEST FORM

### Eley Griffiths Group Small Companies Fund

Investor Number

Investor Name

#### REDEMPTION AMOUNT

Please indicate if you would like to withdraw the total amount of your investment or a partial amount.

Class or Series (if applicable) :

Full withdrawal

Partial withdrawal, please state amount or units to be withdrawn:

OR  UNITS

#### CONTACT DETAILS

Contact Name  Contact Number

#### PAYMENT OF PROCEEDS

Pay into the account previously advised      OR

Pay redemption proceeds into following account:

**IMPORTANT INFORMATION: Additional security checks to verify bank account changes will be performed before the payment of your redemption proceeds if the bank account provided does not match bank account that is currently recorded in our records under your investment or if you have changed your bank account details.**

Account Name:	
Bank:	
BSB	
Account Number	

#### DECLARATION AND AUTHORISATION

Please make sure you have completed the 'Full or Partial Withdrawal' section above.

- In signing, I/we authorise that these instructions be made on my/our behalf and acknowledge that this form is provided on the basis that the Perpetual will affect it accordingly to the terms and conditions of the applicable current PDS.

Signature	Name and title of Signatory (block letters please)	Date

Signature	Name and title of Signatory (block letters please)	Date

**Please note it's up to the investor to ensure White Outsourcing have been notified of authorised signatories on this account. Where the signature cannot be matched to the initial application form or signatory list provided there maybe delays in processing of this request.**

#### COMPLETED FORM

Please return the completed form to:

- fax number (02) 9221 1194, or
- scan and email this request to [registry@whiteoutsourcing.com.au](mailto:registry@whiteoutsourcing.com.au) , or
- post to White Outsourcing Pty Ltd, Attention: Eley Griffiths Group Small Companies Fund Unit Registry, GPO Box 5482, Sydney NSW 2001